

1 Turbulent Fluid Flow is a novel closed-system sample extraction method for flexible endoscope
2 channels of various inner diameters

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14 Key words: contamination, shear force, biofilm, colonoscope

15 **Abstract:**

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17 **Overview:** Effective sample extraction from endoscope channels is crucial for monitoring

18 manual cleaning adequacy as well as for ensuring optimal sensitivity for culture after

19 disinfection. The objective of this study was to compare the efficacy of Turbulent Fluid Flow

20 (TFF) to Flush (F) or Flush-Brush-Flush (FBF) methods.

21 **Materials & Methods:** *Pseudomonas aeruginosa* and *Enterococcus faecalis* in artificial test

22 soil-2015 (ATS2015) were used as bacterial markers while protein and carbohydrate were the

23 organic markers for biofilm formed inside 3.2-mm and 1.37-mm polytetrafluoroethylene (PTFE)

24 channels. TFF was generated using compressed air and sterile water to provide friction for

25 sample extraction. Extraction for biofilm coated PTFE channels as well as for colonoscope

26 channels perfused with ATS2015 containing 10^8 CFU/mL *P. aeruginosa*, *E. faecalis* and

27 *Candida albicans* was determined using TFF compared to FBF and F.

28 **Results:** The extraction ratio for *P. aeruginosa* and *E. faecalis* from biofilm extracted by TFF

29 compared to the positive control was significantly better than F for 1.37-mm channels (≥ 0.94 for

30 both bacteria by TFF versus 0.69 to 0.72 by F for *P. aeruginosa* and *E. faecalis*, respectively) but

31 not significantly different between TFF and FBF for 3.2-mm channels. F was also ineffective for

32 extraction of protein and carbohydrate from 1.37-mm channels. Extraction efficacy by TFF from

33 inoculated colonoscope channels was $>98\%$ for all test markers.

34 **Conclusions:** The novel TFF method for extraction of samples from colonoscope channels is a

35 more effective method than the existing FBF and F methods.

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37

38 **Introduction:**

39 Outbreaks of multi-drug resistant organisms (MDRO) due to contaminated flexible endoscopes
40 have occurred world-wide (Murray 2016, Higa et al. 2016, Aumeran et al. 2012, Rauwers et al.
41 2017, Epstein et al. 2014, Kola et al. 2015, Verfaillie et al. 2015). This has focused attention on
42 the use of culture methods to detect endoscope channel contaminants that are organisms of
43 concern (i.e. organisms associated with infectious outbreaks transmitted from contaminated
44 endoscopes) (Cattoir et al. 2017, Alfa et al. 2017a, Gazdik et al. 2016, Beilenhoff et al. 2006,
45 US-FDA 2015, FDA-CDC-ASM guideline 2018). There are a multitude of methods that have
46 been reported for extracting endoscope channel samples including flushing various types of
47 extraction fluids (e.g. sterile reverse osmosis (sRO) water, neutralizing pharmacopeia diluent
48 (NPD), buffer solutions, Tween containing fluids, various broth media) combined with brushing
49 of some channels to provide friction (Beilenhoff et al. 2006, Alfa et al. 2017a, Gazdik et al.
50 2016, FDA-CDC-ASM guideline 2018, Systchenko et al. 2000, Rauwers et al. 2017). Friction
51 has been shown to be a critical factor to ensure optimal sample extraction from PTFE channels
52 (Alfa et al. 2017a) and has traditionally been achieved using a channel bristle brush or pull-
53 through channel cleaners with a flush-brush-flush extraction process (Brock et al. 2015, Alfa et
54 al. 2017b, FDA-CDC-ASM guideline 2018, Rauwers et al. 2018). These bristle brushes and
55 pull-through cleaners were originally designed to be used during the manual channel cleaning
56 process. However, there are narrow endoscope channels for which there are no available channel
57 brushes (e.g. air-water channels, auxiliary water channels and some ureteroscope channels). In
58 addition to the variability of extraction fluids used for endoscope channel sample collection in
59 the published literature, there is also variability in the recommendations for using channel

60 brushes to provide friction (AS/NZS 4187 2014, Devereaux et al. 2019, Beilenhoff et al. 2006,
61 Systchenko et al. 2000, ANSI/AAMI ST91 2015, FDA-CDC-ASM guideline 2018).

62

63 The interim duodenoscope channel extraction method for culture that was employed in the
64 Epstein et al. (2014) outbreak investigation by the CDC has been replaced with the standardized
65 duodenoscope sample collection protocol released as the FDA-CDC-ASM guideline in 2018.

66 This method uses sRO (or sterile deionized) water for the extraction fluid along with sterile
67 channel bristle brushes for a FBF sample extraction from the instrument channel of
68 duodenoscopes. The method also recommends Dey-Engley broth as a neutralizer that is added in
69 a 1:1 ratio to the channel sample immediately after collection. The guideline also requires
70 concentration of the sample for culture (e.g. filtration or centrifugation) such that the entire
71 sample is inoculated on blood agar media. This method has been validated by endoscope
72 manufacturers including Olympus, Pentax and FujiFilm to provide between 65% to 100%
73 extraction efficacy for a duodenoscope instrument channel and lever recess. Despite this
74 excellent advancement for duodenoscope sample collection, there is no validated method to
75 provide friction for sample collection from narrow channels such as the air-water channel or
76 auxiliary channels of duodenoscopes or for other types of flexible endoscopes (e.g.
77 colonoscopes, gastroscopes, bronchoscopes). Furthermore, the use of a channel bristle brush to
78 provide friction during sample collection of the instrument channel creates a risk for introducing
79 environmental contaminants during sample collection as the sterile brush shaft can be difficult to
80 control and may inadvertently touch external parts of the endoscope or environmental surfaces.
81 As such there is a need to further improve sample extraction from flexible endoscope channels
82 that will provide friction to optimize sample extraction and reduce the risk of environmental

83 contaminants during sample collection. This is especially important for channels such as the
84 AW and AUX channels that cannot be brushed as they are too narrow to pass a long brush down
85 the entire length (e.g. many of the models with an AW channel bifurcate into two channels near
86 the distal end and the brush cannot reach both channels after the bifurcation).

87

88 One approach for removing adherent organic and microbial residues from the inner channel
89 surface is turbulent fluid flow (TFF) (Labib et al. 2011). This technology provides droplet flow
90 driven by a high-velocity turbulent air stream to achieve high shear stress at the surface of a
91 narrow channel. The authors reported that this TFF technology may be ideal for cleaning of
92 narrow channels in flexible endoscopes. However, there has been no assessment of this
93 technology for endoscope channel sample collection.

94

95 The objective of this study was to evaluate the novel TFF technology as a means of providing
96 optimal friction in a “closed system” for extraction of biofilm formed inside PTFE channels and
97 extraction of inoculated colonoscope channels.

98

99

100 **Materials and Methods:**

101 **Microbial strains and Culture methods:**

102 Three microbial strains were purchased from the American Type Culture Collection (ATCC,
103 Manassas, VA): *Enterococcus faecalis* (ATCC 29212), representative of a Gram positive
104 bacteria that has been associated with contaminated endoscopes, *Pseudomonas aeruginosa*
105 (ATCC 27853), representative of a Gram negative bacteria that has been associated with
106 contaminated endoscopes and *Candida albicans* (ATCC 14053), representative of a yeast that
107 has been associated with contaminated endoscopes. Before experiments, *E. faecalis* and *P.*
108 *aeruginosa* were sub-cultured on blood agar consisting of tryptic soy agar containing 5% (v/v)
109 sheep blood (Lampire, Pipersville, PA) and *C. albicans* (CA) was sub-cultured on Sabouraud
110 dextrose agar from frozen stocks and incubated aerobically at 35 °C for 24 hrs. All microbial
111 strains were sub-cultured three times before use. Extracted endoscope channel samples were
112 serially diluted in phosphate buffered saline (PBS) to 10⁻⁸ and 100 µL from each dilution was
113 plated onto CHROMagar orientation media (BD, Sparks, MD).

114

115 **Artificial Test Soil-2015 (ATS2015)**

116 Artificial Test Soil-2015 (Healthmark Industries, Fraser, MI) was rehydrated as per the
117 manufacturer's instructions for use (MIFU) and supplemented to a final concentration of 20%
118 sheep blood (Lampire, Pipersville, PA). This ATS2015 containing 20% blood has been shown
119 to mimic the secretions from patient-used flexible endoscopes (Alfa and Olson 2016) so is an
120 appropriate test soil for developing biofilm and inoculation of the colonoscope for simulated-use
121 testing.

122

123 **Sample Neutralizer:**

124 The double-strength (2X) neutralizer used was that described by Pineau and De Philippe (2013)
125 (Pineau neutralizer) and consisted of Tween 80 (Sigma, St Louis, MO) 3% (v/v), lecithin
126 (Sigma) 0.3% (w/v), L-histidine (Sigma) 0.1% (w/v), and sodium thiosulfate (Sigma) 0.5%
127 (w/v). Sterile Pineau neutralizer was added immediately after sample extraction in equal volume
128 to all test aliquots extracted from colonoscope channels that were used for culture to facilitate
129 growth of microbes that have been potentially damaged by the reprocessing process (as outlined
130 in the FDA-CDC-ASM guideline (2018).

131

132 **Traditional biofilm formation in 3.2-mm and 1.37-mm Polytetrafluoroethylene (PTFE)**
133 **channels:**

134 Both 3.2-mm inner diameter PTFE tubing (catalogue # 5239K11, McMaster-Carr, Robbinsville,
135 NJ) and 1.37-mm inner diameter tubing (catalogue # 137003, Endoscopy Development
136 Company, Maryland Heights, MO) were the new endoscope channels used for formation of
137 traditional biofilm. The ATS2015 was inoculated with *E. faecalis* and *P. aeruginosa* each at 10^8
138 CFU/mL. The ATS2015-bacterial suspension was perfused through a sterile PTFE channel and
139 then connected to form a closed circuit so that the inoculum was continuously circulated through
140 the PTFE channel using a peristaltic pump (MasterFlex C/L Model 77122-14, Cole-Parmer,
141 Barrington, IL) at a flow rate of 72 mL/hr at room temperature. After overnight circulation, the
142 suspension was drained and the channel was rinsed three times with sRO water, and then
143 continuously perfused overnight with a 1:10 dilution of ATS2015 containing *E. faecalis* and *P.*
144 *aeruginosa* each at 10^5 CFU/mL. For each of three following mornings the draining, rinsing, and
145 soiling of the channel was repeated exactly as per the second day. On the last day, the channel

146 was rinsed with sRO water as per previous days. For storage, the biofilm containing PTFE
147 channel was filled with sRO water and stored at room temperature to prevent drying. This
148 formation of biofilm within PTFE channels represents a “worst-case” challenge.

149

150 **Colonoscopy testing:**

151 An Olympus CF Type H180L (Olympus-180) colonoscopy was used. The colonoscopy was
152 reprocessed following the MIFU with high level disinfection achieved using Peracetic acid
153 (4.5%), Angelini Pharma Inc (Gaithersburg, MD) followed by tap water rinsing. The
154 reprocessed colonoscopy was thoroughly air dried by flushing air through the channels prior to
155 storage. The benchmarks for adequate colonoscopy channel cleaning for protein and
156 carbohydrate were $< 6.4 \mu\text{g}/\text{cm}^2$ and $< 1.2 \mu\text{g}/\text{cm}^2$, respectively (Alfa et al. 1999).

157

158 For inoculation of the colonoscopy a suspension containing *E. faecalis*, *P. aeruginosa*, and *C.*
159 *albicans* at 10^8 CFU/mL in ATS2015 (ATS-EPC) was prepared. The colonoscopy was laid out
160 on new absorbent pad (Shield Line, Hackensack, NJ) on a table and the distal end was placed on
161 sterile gauze. Sterile connectors and plugs were attached to the endoscope. To soil the suction-
162 biopsy (SB) channel, the sterile biopsy port plug was removed and a syringe containing ATS-
163 EPC was used to flush the inoculum slowly through the entire SB channel with the distal end
164 raised up until fluid just emerged from the distal end. To soil the Air-Water (AW) channel, a
165 syringe containing the ATS-EPC was used to slowly flush the inoculum through the channels
166 until fluid just emerged from the distal end. To soil the Auxiliary water (AUX) channel, a
167 syringe containing ATS-EPC was flushed slowly through the AUX channel until soil just
168 emerged from the distal end. After soiling, the excess fluid was drained by flushing each

169 inoculated channel with 60 cc air three times. The inoculated channels were then allowed to dry
170 at room temperature for two hours.

171

172 **Turbulent Fluid Flow (TFF) sample extraction from channels:**

173 ***PTFE channels containing traditional biofilm (PTFE-TBF):***

174 A 30.5 cm length of PTFE-TBF was cut using a sterile scalpel. A channel extraction apparatus
175 (CEA) was created by connecting the PTFE-TBF segment between two flanking segments of
176 sterile PTFE tubing that were 76.25 cm in length and had same ID (internal diameter) as the test
177 section to make a total length of 183 cm using connectors (Figure 1). All connectors and the
178 TFF water pump head, and bottle cap manifold were steam sterilized prior to use. A sterile
179 sample collection bottle was attached to the sterile bottle cap manifold for channel sample
180 collection. The two HEPA filters and one end of the CEA containing the test section were
181 connected to the manifold. The other end of the CEA was connected to the TFF mixing chamber.
182 The compressor was started and the air pressure was adjusted to 28 psi. The pump (FMI
183 Q1SAN) setting and the controller (FMI V200) setting were adjusted accordingly for different
184 PTFE tubing ID such as 3.2-mm and 1.37-mm. After the pump was turned on, the air valve was
185 opened to generate TFF then 100 mL of sRO water was used for each channel extraction. Once
186 the sRO water was finished, the pump was stopped and the air valve was closed. A 3-mL aliquot
187 of the sample in the collection bottle was stored at -20 °C for chemistry testing and then the
188 remaining sample was used for viable count. A portion of the extracted sample was serially
189 diluted and 0.1 mL of each dilution was spread over the surface of a CHROMagar plate and
190 incubated aerobically at 35 °C for 24 hours. The remainder of the sample was concentrated
191 using a sterile filtration apparatus (MicroFunnel, Pall Corporation, Ann Arbor, MI) and the filter

192 was aseptically removed and transferred onto a CHROMagar plate. The inoculated agar medium
193 was incubated aerobically at 35 °C for 72 hours and the CFU (colony forming unit) was
194 determined.

195
196

197 ***Turbulent fluid flow sample extraction from Colonoscope channels:***

198 Sterilized connectors and plugs were attached to the appropriate outlets of the SB, AW and AUX
199 channels of an Olympus-180 colonoscope (Figure 2). The distal end of the endoscope was
200 attached to a sterile manifold that provided HEPA venting of air and collection of the fluid in a
201 sterile collection container (TFF endoscope sample collection as shown in Figure 2). The
202 compressor was started and the air pressure was adjusted to 28 psi. The pump (FMI Q1SAN)
203 setting and the controller (FMI V200) setting were adjusted appropriately for each of the SB,
204 AW or AUX channel. The flow rate was 22 mL/min for SB, 18 mL/min for AW, and 14 mL/min
205 for AUX channel. After the pump was turned on, the air valve was opened to generate TFF.
206 Sample extraction was achieved using 100 mL of sRO water for each harvesting. Once the sRO
207 water was finished, the pump was stopped and the air valve was closed. For harvesting a
208 specific channel, the channels not in use were clamped. The extracted sample was collected in a
209 sterile container. A 2-mL aliquot of the extracted sample was kept frozen for chemistry testing
210 and the remaining sample had 2X Pineau neutralizer added and was used for serial dilution and
211 viable count (as described previously). After extraction of one channel, the distal end was
212 dipped in sRO water and then wiped with an alcohol swab and air dried prior to collecting the
213 next channel sample.

214

215 **Quantitation of viable bacteria, protein and carbohydrate:**

216 Unless specified otherwise, a 3-mL aliquot of the 100-mL TFF sample was removed to a sterile
217 container and frozen for protein and carbohydrate testing. The remaining extracted sample had
218 an equal volume of 2X Pineau neutralizer added. For positive controls the neutralized sample
219 was serially diluted 1:10 and 0.1 mL of each dilution inoculated onto CHROMagar medium. For
220 negative controls and samples expected to have low CFU, the entire neutralized sample was
221 concentrated by filtration as recommended in the FDA-CDC-ASM guideline (2018). Results
222 were reported as CFU/cm² and the limit of detection was 10 CFU/mL for unconcentrated
223 enumeration and 1 CFU/97 mL for concentrated enumeration. Protein was assessed using the
224 QuantiPro BCA assay (Sigma, St Louis, MO), which included a bovine serum albumin protein
225 standard. This quantitative assay is based on bicinchoninic acid and the limit of detection was
226 0.5 µg/mL. The carbohydrate assay described by Liu et al. (1994) was used and the limit of
227 detection was 10 µg/mL. Protein and carbohydrate assays were performed following the
228 manufacturers' instructions and results were converted to micrograms per square centimeter
229 (µg/cm²).

230
231 **Calculation of biofilm extraction ratio from PTFE channels (3.2-mm and 1.37-mm):**

232 Reliable quantitation of microbial levels within biofilm is difficult. Waller et al. (2018)
233 demonstrated that sonication optimizes biofilm detachment for determining CFU. In order to
234 compare the efficacy of FBF and F sample extraction to TFF extraction, destructive testing
235 combined with sonication and vortex mixing was used as the positive control for viable counts
236 (i.e. maximum level of viable cells that could be extracted). Similar to Aumeran et al. (2012)'s
237 approach, the viable count for a defined length of PTFE channel was expressed as Log₁₀
238 CFU/cm² and the ratio of this viable count was compared to that of the positive control (i.e.

239 extraction ratio). The higher the extraction ratio the more effective the sample extraction
240 method.

241

242 **Calculation of extraction efficacy from flexible endoscope channels:**

243 Destructive testing is not possible for endoscopes so an alternative method to determine
244 extraction efficacy is needed. For endoscope channels that are inoculated with a test soil
245 containing viable bacteria, the extraction efficacy for each method evaluated was determined
246 using repeated rounds of extraction (i.e. exhaustive extraction) that is indicated in the FDA 2015
247 Guide to Manufacturers (2015). Three repeat rounds of extraction from endoscope channels were
248 each collected separately. The CFU/cm², as well as µg/cm² for both protein and carbohydrate
249 were calculated for each round of extracted material. The percentage efficiency of the initial
250 round of extraction was calculated as: $C1/(C1+C2+C3) \times 100$ where C1 is the CFU/cm² for the
251 first round of extraction, C2 is the CFU/cm² for the second round of extraction and C3 is the
252 CFU/cm² for the third round of extraction (C1+C2+C3 represents the maximum extractable
253 amount of the CFU test marker). This same process was also used to determine the percentage
254 extraction efficacy for µg/cm² of protein and carbohydrate test markers from each round of
255 extraction.

256

257 **Overview of experimental testing:**

258 **PTFE channels:** The extraction efficacy of FBF (for 3.2-mm channels), F (for 1.37-mm
259 channels) and TFF (for both 3.2-mm and 1.37-mm channels) were compared to destructive
260 testing for microbes as well as protein and carbohydrate.

261 **Colonoscope channels:** The extraction efficacy of FBF (for SB channel) and F (for AW and
262 AUX channels) were compared to TFF (for SB, AUX and AW channels) for microbes as well as
263 protein and carbohydrate.

264 All experiments were performed in triplicate unless otherwise stated.

265

266 **Statistical analysis:**

267 The student t-test (2 tailed) was used to analyze the Log_{10} CFU/cm² (or $\mu\text{g}/\text{cm}^2$ for organic
268 residuals) data for biofilm testing and to analyze the % extraction efficacy based on CFU/cm² (or
269 $\mu\text{g}/\text{cm}^2$ for organic residuals) for the endoscope inoculation testing.

270

271

272 **Results:**

273

274 The initial testing of extraction efficacy was done using PTFE channels containing traditional
275 biofilm formed as described by Alfa et al (2017b). Destructive testing (Alfa et al. 2017a) of
276 biofilm coated PTFE channels was used as the positive control (POS). The biofilm extraction
277 efficacy of TFF, FBF and F for bacterial and organic residues (protein and carbohydrate) from
278 3.2-mm PTFE channels as well as from 1.37-mm PTFE channels was compared to the POS
279 control (Table 1). When performing simulated-use testing with biofilm coated 3.2-mm PTFE
280 channels, the extraction of *E. faecalis*, *P. aeruginosa*, protein and carbohydrate was not
281 significantly different for TFF versus FBF. Whereas, for 1.37-mm biofilm coated PTFE
282 channels TFF had significantly better extraction ($p < 0.001$) for *E. faecalis*, *P. aeruginosa*, and
283 protein and was trending to significance ($p = 0.062$) for carbohydrate.

284 Compared to the POS the extraction ratio for *E. faecalis* from 3.2-mm biofilm coated channels
285 was 1.0 and 0.92 for TFF and FBF, respectively. The extraction ratio from 1.37-mm biofilm

286 coated channels was 1.0 for TFF but only 0.72 for F. Similarly, for *P. aeruginosa* the extraction
287 ratio was similar for TFF and FBF in 3.2-mm biofilm coated channels (0.97 and 0.98,
288 respectively) but for 1.37-mm biofilm coated channels the ratio was 0.94 and 0.69 for TFF and F
289 respectively. The poor extraction ratio ($p < 0.001$) for F compared to the POS was also apparent
290 for protein and carbohydrate in the 1.37-mm channels (Table 1).

291
292 For sample extraction from endoscope channels destructive testing is not possible, so TFF
293 extraction was compared to FBF and F extraction methods as outlined in the FDA-CDC-ASM
294 guideline for duodenoscope channel sample collection (2018). The test markers included; CFU,
295 protein and carbohydrate. The results of this comparison are shown in Tables 2 and 3.

296 The TFF extraction efficacy (i.e. first round of extraction) for microorganisms was > 98% for all
297 colonoscope channels tested, whereas the FBF and F sample collection method could not achieve
298 this level of extraction efficacy for any of the channels tested (Table 3 shows that the microbe
299 extraction efficacy for F and FBF ranged from 83.6% to 95.8%). Overall, the TFF extraction
300 efficacy from inoculated colonoscope channels was significantly better than FBF or F sample
301 collection for microbial and organic markers from the SB and AUX channels with 8/15 test
302 parameters being significantly better for TFF extraction and 0/16 test parameters being
303 significantly better for FBF or F sample extraction (Tables 2 and 3). For the AW channel the
304 extraction efficacy of TFF versus F was not significantly different for any of the microbial or
305 organic markers. This is likely due to the higher variability of the FBF and F sample collection
306 methods (i.e. higher standard deviation).

307

308 For organic markers, the TFF extraction method was > 99% effective for all channels tested
309 whereas none of the FBF or F methods achieved this level of extraction efficiency (Table 3
310 demonstrates that the extraction efficacy for FBF and F ranged from 89.1% to 98.8%). The high
311 variability in extraction efficacy was also apparent for protein and carbohydrate using the F
312 extraction method for the AW channels of the colonoscope (Table 3) compared to the TFF
313 extraction method (Table 2).

314

315 The average of negative controls for 3.2-mm PTFE channels showed no viable organisms,
316 protein < 2.1 µg/cm² and carbohydrate < 0.45 µg/cm². The results for the 1.37-mm PTFE
317 channels were similar to the 3.2-mm PTFE channels except that the carbohydrate levels were <
318 5.2 µg/cm². The negative controls for the colonoscope for TFF testing showed on average <
319 0.051 CFU/cm² of *E. faecalis*, *P. aeruginosa* or *C. albicans* (Table 4) and < 0.3 µg/cm² for
320 protein or carbohydrate from the SB, AW and AUX channels. For the FBF and F sample
321 collections from the colonoscope the negative control results were similar to those for the TFF
322 testing (Table 4) except for carbohydrate that on average was < 0.6 µg/cm². The negative
323 controls were taken after full reprocessing and storage demonstrating that the detection of viable
324 organisms prior to experimental testing was rare and that the average protein and carbohydrate
325 residuals were within the benchmarks for adequately cleaned channels.

326

327 **Discussion:**

328 Our data demonstrated, not unexpectedly, that destructive testing was an optimal positive control
329 in terms of extraction efficacy of high levels of viable bacteria and organic markers from biofilm
330 within narrow lumen channels (i.e., simulated-use testing using a worst-case surrogate channel

331 model). Our data support other studies (Waller et al. 2018, Johani et al. 2018, Aumeran et al.
332 2012) that used destructive testing and sonication to optimize biofilm detachment. Waller et al.
333 (2018) reported that 3.4 to 6.1×10^6 CFU/mL were extracted from biofilm with sonication
334 whereas only 1×10^2 CFU/mL were extracted without sonication. Our results for destructive
335 testing are similar to Cattoir et al. (2017)'s data where destructive testing was used for their
336 positive controls. They tested *P. aeruginosa* biofilm coated PTFE channels and compared the
337 extraction efficacy of 10 mL saline flush, 10 mL neutralizer (NPD) flush, 10 mL saline with FBF
338 using a bristle brush and 10 mL saline with a pull-through device. They used destructive testing
339 for positive controls and reported that the extraction efficacies of the four sample collection
340 methods they studied ranged from 44% to 59% (Cattoir et al. 2017). Aumeran et al. (2012) had
341 also used *P. aeruginosa* biofilm in channels to assess extraction efficacy by flushing using either
342 water, saline or Lethen broth. The destructive testing positive control showed levels of *P.*
343 *aeruginosa* in their biofilm (i.e. 10^7 to 10^8 CFU/cm²) similar to the CFU/cm² in the biofilm used
344 for our evaluation. Aumeran et al. (2012) found that flushing with water and Lethen broth had
345 extraction ratios of 0.84 and 0.93, respectively. Our testing evaluated different methods of
346 generating friction for sample extraction. It confirmed that higher extraction ratios could be
347 achieved in 3.2-mm PTFE channels (0.97 to 1.00 for TFF and 0.92 to 0.98 for FBF) compared
348 to when no friction was used in 1.37-mm PTFE channels (0.69 to 0.72 extraction ratio for F
349 extraction). Our data support Aumeran et al. (2012)'s approach of assessing extraction efficacy
350 of viable bacteria from biofilm using the concept of "extraction ratio" of the test method
351 compared to an appropriate positive control.

352

353 The TFF method of creating friction to extract channel samples provides an alternative to the use
354 of bristle brushes or a pull-through device used by Cattoir et al (2017). Unlike the other methods
355 of creating friction, TFF can be used in a closed sample collection process that does not create
356 aerosols and reduces the risk of environmental contamination of the sample. Our data and that of
357 Cattoir et al. (2017) further support the study by Alfa et al. (2017a) where friction was shown to
358 be a critical factor in sample extraction from PTFE channels coated with build-up-biofilm which
359 is more difficult to remove than traditional biofilm.

360

361 Our current study demonstrated that extraction of organic residuals such as protein and
362 carbohydrate from biofilm coated PTFE channels was also challenging. Although many studies
363 have been done to assess the ability of enzymatic and non-enzymatic detergents to remove
364 organic material in biofilms, this aspect is not well studied in terms of extraction of endoscope
365 channel samples to determine the efficacy of manual cleaning. The extraction efficacy of TFF
366 was not significantly different from that of FBF for 3.2-mm PTFE channels for protein or
367 carbohydrate but for 1.37-mm PTFE channels there was significantly more protein extracted
368 with TFF compared to F (TFF vs F was also trending to significance with carbohydrate
369 extraction). The authors are not aware of other published studies that evaluated extraction of
370 organics from biofilm within PTFE channels in terms of monitoring extraction efficacy. Because
371 TFF sample extraction is achieved using sterile RO water (i.e. no surfactants or other additives)
372 there would be no interference with ATP assays or with quantitative assays for protein or
373 carbohydrate.

374

375 Since destructive testing is not feasible for reusable medical devices, the extraction of residuals
376 from narrow channels of such devices has been focused on the use of F and FBF methods for
377 cleaning validation (Alfa et al. 2017a, Visrodia et al. 2017, Ma et al. 2018, Pineau and De
378 Philippe 2013) and culture (e.g. Cattoir et al. 2017, FDA-CDC-ASM guideline 2018). Indeed,
379 Cattoir et al (2017)'s review of various National guidelines for endoscope sample collection
380 indicated that fluid volumes ranging from 1 mL to 200 mL were recommended for F or FBF
381 sample collection. The only published alternative approach is pump-assisted flushing using 50
382 mL of neutralizer fluid for extraction from endoscopes (Ji et al. 2018). This method was
383 significantly better than manual flushing for patient-used flexible endoscopes (in terms of CFU
384 levels detected) but no simulated-use data comparing the extraction efficacy of the pump-assisted
385 method to the manual method was provided. Gazdik et al. (2016) also reported that in addition
386 to flushing the instrument channel with fluid, the use of a flocked swab instead of the larger
387 cleaning brush recommended by the CDC interim protocol, improved the recovery of
388 *Escherichia coli* (46%), *P. aeruginosa* (80%), and *E. faecalis* (67%) from the lever recess of
389 duodenoscopes. The need to standardize and validate the extraction methods used for sample
390 collection from flexible endoscopes has been recognized (Rauwers et al. 2017, Cattoir et al.
391 2017, Gazdik et al. 2016) but many of the published studies do not provide extraction efficacy
392 data for the sample collection method they used (Olafsdottir et al. 2018, Rauwers et al. 2017,
393 Shin and Kim 2015, Ji et al. 2018, Ma et al. 2018). The recently released FDA-CDC-ASM
394 guideline (2018) sample collection protocol for duodenoscopes is one of the few studies where
395 the three main endoscope manufacturers validated the extraction efficacy of the culture protocol.
396 The manufacturer testing using the FBF method for duodenoscopes in the FDA-CDC-ASM
397 guideline (2018) method achieved extraction efficacy of 65% to 100%.

398

399 Our data evaluating non-destructive sample extraction for inoculated endoscope channels are the
400 first to document that overall TFF extraction is superior to F only and FBF extraction methods
401 for extraction of both microbial and organic residuals. Extraction efficacy was > 98% for all
402 channels for both *P. aeruginosa* and *E. faecalis* and for protein and carbohydrate the TFF
403 extraction efficacy was > 99% for all channels tested. This TFF extraction was superior to the
404 89.5% extraction using FBF from intubation endoscopes (Alfa et al. 2016) perfused with
405 ATS2015 containing high bacterial levels. Unlike extraction from biofilm-coated PTFE
406 channels, the extraction of samples from colonoscope channels perfused with ATS2015
407 containing high microbial levels mimics clinical material suctioned through endoscopes (Alfa et
408 al. 2016). This study demonstrated that TFF can provide optimal sample extraction for patient-
409 used colonoscopes for cleaning verification testing as well as for culture testing after HLD (High
410 Level Disinfection; with or without storage). The testing performed in this study facilitates the
411 harmonization of the TFF sample collection with the FDA-CDC-ASM guideline (2018)
412 approach for culture to detect contamination of endoscope channels (i.e. sample extraction from
413 the BP to distal end). However, further testing is needed to assess TFF extraction from the lever
414 and lever recess of duodenoscopes. Endoscope manufacturers validated the FDA-CDC-ASM
415 guideline (2018) FBF method of sample extraction from the duodenoscope instrument channel
416 (BP to distal end) and lever recess as between 65 to 100% effective. Our data demonstrated that
417 TFF may be a more reproducible extraction method for achieving > 98% extraction efficacy
418 from all endoscope channels irrespective of the inner diameter. Our simulated-use biofilm
419 extraction data indicates that if biofilm was present in endoscope channels, the TFF extraction
420 method would provide efficient extraction of this type of more challenging residual.

421 Furthermore, all TFF sample collection can be performed by one person. This aspect could
422 facilitate the ability of busy endoscopy clinics to initiate sample collection for cleaning
423 validation as well as for post-HLD culture testing of endoscope contamination.

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425 Limitations of this study include that only colonoscope channels from one manufacturer were
426 evaluated and that further studies are needed to optimize the TFF channel extraction for other
427 types of levered and non-levered flexible endoscopes from various manufacturers.

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429 In summary, the key findings for the TFF extraction from flexible endoscope channels includes;
430 optimal friction is provided using TFF which can be achieved in all channels even those that
431 currently do not get brushed and it is a closed system thereby reducing the risk of extraneous
432 contamination associated with the FBF protocol.

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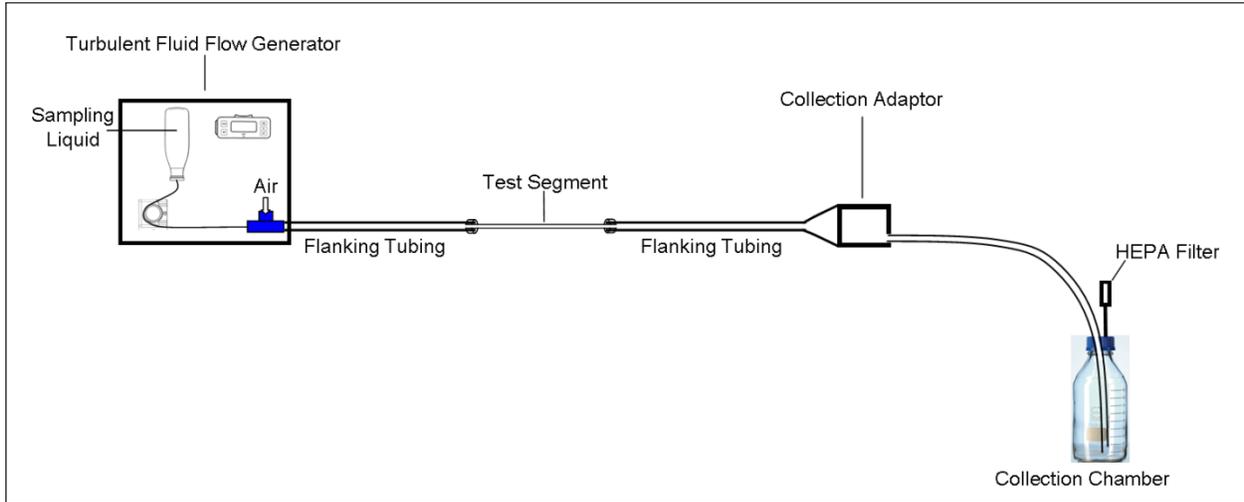
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435 **Acknowledgements:**

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437 NovaFlux. The funding source had no involvement.

438 **Figure 1 Turbulent fluid flow generation device connected to biofilm-coated PTFE test**
 439 **segment**

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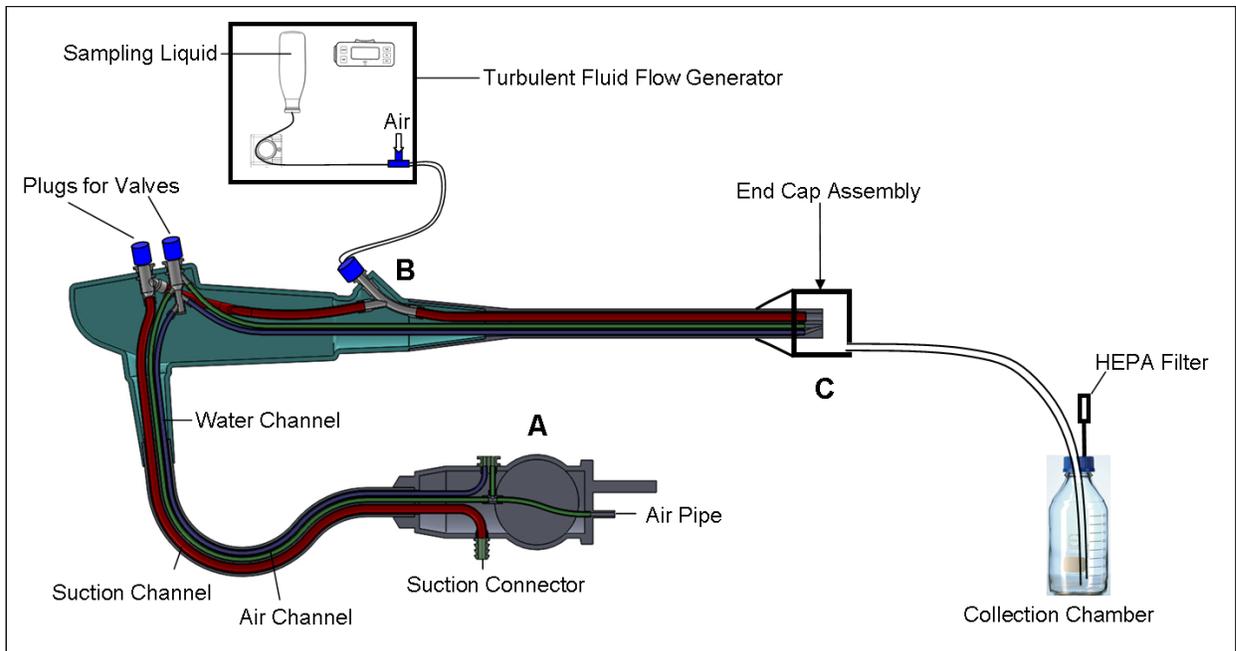
441 The TFF connection setup for CEA where the test segment of biofilm coated PTFE channel
 442 (either 3.2 mm or 1.37 mm inner diameter) is inserted between sterile flanking tubing to provide
 443 a total length similar to a colonoscope.
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447 **Figure 2 Turbulent fluid flow generation device connected to inoculated colonoscope**

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449 The TFF connection setup for sample collection from an inoculated colonoscope from the
 450 Biopsy port (B) to the distal end (C). For the Air/Water and Auxiliary water channels the TFF
 451 was delivered from the umbilical end (A) to the distal end (C) with a plug in the handle area. The
 452 Auxiliary water channel from umbilical to distal end is not shown in the above diagram.
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Table 1: Extraction of Microbial and Organic markers by Turbulent Fluid Flow, Flush-brush-flush, and Flush sample collection compared to destructive extraction of traditional biofilm in 3.2-mm and 1.37-mm PTFE channels.

	3.2 mm PTFE Channel:			1.37 mm PTFE Channel:		
	TFF ¹	FBF ²	POS ³	TFF ¹	F ⁴	POS ³
<i>E. faecalis</i>	Log₁₀ CFU/cm²					
Experiment 1	6.23	5.59	5.90	6.22	4.04	6.09
Experiment 2	6.08	5.49	6.19	6.02	4.51	6.09
Experiment 3	5.42	5.09	5.45	5.92	4.24	5.64
<i>Average (STD⁵):</i>	5.91 (0.43)	5.39 (0.27)	5.85 (0.37)	6.05 ⁶ (0.15)	4.26 (0.23)	5.94 (0.26)
<i>P. aeruginosa</i>	Log₁₀ CFU/cm²					
Experiment 1	7.24	7.17	7.29	7.44	5.28	7.90
Experiment 2	7.06	7.26	7.40	7.36	5.77	7.81
Experiment 3	7.09	7.12	7.34	7.57	5.47	8.15
<i>Average (STD⁵):</i>	7.13 (0.10)	7.18 (0.07)	7.34 (0.05)	7.46 ⁶ (0.10)	5.51 (0.25)	7.95 (0.18)
<i>Protein</i>	µg/cm²					
Experiment 1	8.16	10.09	16.26	12.07	0.00	26.06
Experiment 2	7.02	7.92	13.41	11.44	0.00	28.15
Experiment 3	6.39	5.93	13.41	10.77	0.11	27.54
<i>Average (STD⁵):</i>	7.19 (0.90)	7.98 (2.08)	14.36 (1.65)	11.43 ⁶ (0.65)	0.04 (0.06)	27.25 (1.07)

<i>Carbohydrate</i>	$\mu\text{g}/\text{cm}^2$					
Experiment 1	8.93	16.37	8.83	6.20	0.85	13.55
Experiment 2	9.45	13.47	8.53	27.30	1.91	14.33
Experiment 3	15.90	5.18	8.05	18.87	2.12	16.60
<i>Average STD</i> ⁵ :	11.43 (3.88)	11.67 (5.81)	8.47 (0.39)	17.46 ⁷ (10.62)	1.62 (0.68)	14.83 (1.58)

459 The extraction efficacy ratio is calculated as $\text{Log}_{10}\text{CFU}/\text{cm}^2$ for TFF, FBF or F divided by
460 $\text{Log}_{10}\text{CFU}/\text{cm}^2$ POS. For example, for TFF this extraction efficacy ratio is > 0.94 for both *P.*
461 *aeruginosa* and *E. faecalis* and for F it is 0.69 and 0.72 for *P. aeruginosa* and *E. faecalis*,
462 respectively.

463 ¹ TFF; Turbulent Fluid Flow extraction

464 ² FBF; Flush-brush-flush extraction

465 ³ POS; Positive control using destructive extraction

466 ⁴ F; Flush extraction

467 ⁵ STD; standard deviation

468 ⁶ TFF extraction significantly better compared to F extraction ($p < 0.05$).

469 ⁷ TFF extraction trending to significantly better compared to F extraction ($p = 0.06$)

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Table 2 Extraction Efficacy of Turbulent Fluid Flow sample collection for inoculated colonoscope channels

Parameter	Suction Biopsy channel	Air/Water channel	Auxiliary channel
<i>E. faecalis</i>			
Pos Control Average Log ₁₀ CFU/cm ²	7.87 (0.09)	7.81 (0.06)	7.47 (0.06)
% Efficiency extraction based on CFU/cm²			
Experiment 1	99.13	97.69	99.92
Experiment 2	96.50	99.87	99.97
Experiment 3	99.69	99.73	99.99
Average (STD)*:	98.44 (1.39) **[p=0.002]	99.09 (1.00)	99.96 (0.03) **[p=0.021]
<i>P. aeruginosa</i>			
Pos Control Average Log ₁₀ CFU/cm ²	6.48 (0.43)	6.61 (0.11)	5.79 (0.45)
% Efficiency extraction based on CFU/cm²			
Experiment 1	99.51	97.78	99.97
Experiment 2	96.70	99.97	99.99
Experiment 3	99.76	99.88	99.99
Average (STD):	98.66 (1.39)	99.21 (1.01)	99.98 (0.01)
<i>C. albicans</i>			
Pos Control Average Log ₁₀ CFU/cm ²	6.32 (0.26)	6.32 (0.37)	5.85 (0.04)
% Efficiency extraction based on CFU/cm²			
Experiment 1	99.86	99.04	99.94
Experiment 2	98.63	99.96	99.97
Experiment 3	99.68	99.80	99.99
Average:	99.39 (0.54) **[p=0.020]	99.60(0.40)	99.97 (0.02) **[p=0.005]
Protein			
% extraction efficacy based on µg/cm²			
Pos Control Average µg/cm ²	1207.49 (193.26)	895.85 (49.28)	178.47 (23.01)
Experiment 1	99.94	99.22	99.77
Experiment 2	99.06	99.99	100.00
Experiment 3	99.95	99.98	99.71
Average STD:	99.65 (0.42)	99.73 (0.36)	99.83 (0.13)

	**[p=0.019]		
Carbohydrate			
Pos Control Average $\mu\text{g}/\text{cm}^2$	251.05 (18.34)	210.15 (41.78)	59.34(8.52)
	% extraction efficacy based on $\mu\text{g}/\text{cm}^2$		
Experiment 1	99.50	98.41	100.000
Experiment 2	99.48	99.82	100.000
Experiment 3	100.00	100.00	100.000
Average (STD):	99.66 (0.24) **[p=0.030]	99.41 (0.71)	100.00 (0.00) **[p=0.001]

479 *STD: standard deviation,

480 ** Extraction efficacy of TFF significantly better than either FBF for Suction-Biopsy channel or
481 F for Auxiliary channel [$p < 0.05$].

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Table 3: Extraction Efficacy of current Flush-brush-flush and Flush-only sample collection for inoculated colonoscope channels

Parameter	Suction Biopsy channel (FBF)	Air/Water channel (F only)	Auxiliary channel (F only)
<i>E. faecalis</i>			
Pos Control Average Log ₁₀ CFU/cm ²	7.90 (0.12)	7.78 (0.10)	7.36 (0.20)
% Efficiency extraction based on CFU/cm²			
Experiment 1	87.10	98.52	97.92
Experiment 2	81.66	98.30	94.27
Experiment 3	82.26	73.03	95.09
Average (STD)*:	83.68 (2.43) **[p=0.002]	89.95 (11.96)	95.76 (1.56) **[p=0.021]
<i>P. aeruginosa</i>			
Pos Control Average Log ₁₀ CFU/cm ²	7.12 (0.42)	6.79 (0.60)	6.35 (0.73)
% Efficiency extraction based on CFU/cm²			
Experiment 1	97.91	93.99	94.03
Experiment 2	75.31	97.68	67.69
Experiment 3	82.92	75.41	94.46
Average (STD):	85.38 (9.39)	89.03 (9.75)	85.39 (12.52)
<i>C. albicans</i>			
Pos Control Average Log ₁₀ CFU/cm ²	6.50 (0.11)	6.31 (0.26)	6.04 (0.30)
% Efficiency extraction based on CFU/cm²			
Experiment 1	92.31	99.31	98.41
Experiment 2	80.44	99.46	98.12
Experiment 3	87.30	67.43	96.08
Average:	86.68 (4.87) **[p=0.020]	88.73 (15.07)	97.54 (1.04) **[p=0.005]
Protein			
Average Total µg/cm ²	823.51 (94.53)	795.99 (27.37)	379.97 (33.79)
% extraction efficacy based on µg/cm²			
Experiment 1	94.59	99.00	99.57
Experiment 2	88.74	99.64	98.30
Experiment 3	94.13	92.55	98.54
Average:	92.49 (2.66)	97.06 (3.20)	98.80 (0.55)

	**[p=0.019]		
Carbohydrate			
Average Total $\mu\text{g}/\text{cm}^2$	189.81 (12.40)	154.28 (24.49)	73.0 (21.41)
	% extraction efficacy based on $\mu\text{g}/\text{cm}^2$		
Experiment 1	91.51	99.07	98.93
Experiment 2	85.37	99.54	98.56
Experiment 3	90.36	74.42	98.47
Average:	89.08 (2.67) **[p=0.030]	91.01 (11.73)	98.66 (0.20) **[p=0.001]

488 *STD: standard deviation

489 ** Extraction efficacy of TFF significantly better than either FBF for Suction-Biopsy channel or
490 F for Auxiliary channel.

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494 **Table 4 Negative control culture results for colonoscope after HLD and storage**

		TFF					FBF or F				
		CFU/cm²					CFU/cm²				
		Exp 1	Exp 2	Exp 3	AVE	STD	Exp 1	Exp 2	Exp 3	AVE	STD
SB	<i>E. faecalis</i>	0.019	0.000	0.005	0.008	0.008	0.000	0.000	0.000	0.000	0.000
FBF	<i>P. aeruginosa</i>	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
	<i>C. albicans</i>	0.000	0.005	0.000	0.002	0.002	0.000	0.000	0.000	0.000	0.000
	Env. isolates	0.014	0.005	0.000	0.006	0.006	0.005	0.000	0.000	0.002	0.002
AW	<i>E. faecalis</i>	0.014	0.000	0.003	0.006	0.006	0.000	0.000	0.000	0.000	0.000
F	<i>P. aeruginosa</i>	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
	<i>C. albicans</i>	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
	Env. isolates	0.003	0.000	0.000	0.001	0.002	0.000	0.000	0.000	0.000	0.000
AUX	<i>E. faecalis</i>	0.006	0.000	0.146	0.051	0.068	0.000	0.000	0.032	0.011	0.015
F	<i>P. aeruginosa</i>	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
	<i>C. albicans</i>	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
	Env. isolates	0.013	0.000	0.357	0.123	0.165	0.000	0.000	0.369	0.123	0.174

495 HLD: High level disinfection

496 TFF: Turbulent fluid flow, FBF: Flush-brush-flush, F: Flush only

497 Exp: Experiment

498 AVE: Average

499 STD: Standard deviation

500 Env. isolates: Environmental isolates

501 References:

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